

QUERY CONTROL FORM		RTIS USE ONLY	
Application No. <u>097 06574</u>	Prepared by <u>PAP</u>	Tracking Number <u>05987425</u>	
Examiner-GAU <u>Celsa-1639</u>	Date <u>8/31/04</u>	Week Date <u>7/26/04</u>	
	No. of queries <u>1</u>	IFW	

JACKET

a. Serial No.	f. Foreign Priority	k. Print Claim(s)	<input checked="" type="checkbox"/> PTO-1449
b. Applicant(s)	g. Disclaimer	l. Print Fig.	q. PTOL-85b
c. Continuing Data	h. Microfiche Appendix	m. Searched Column	r. Abstract
d. PCT	i. Title	n. PTO-270/328	s. Sheets/Figs
e. Domestic Priority	j. Claims Allowed	o. PTO-892	t. Other

SPECIFICATION

- a. Page Missing
- b. Text Continuity
- c. Holes through Data
- d. Other Missing Text
- e. Illegible Text
- f. Duplicate Text
- g. Brief Description
- h. Sequence Listing
- i. Appendix
- j. Amendments
- k. Other

CLAIMS

- a. Claim(s) Missing
- b. Improper Dependency
- c. Duplicate Numbers
- d. Incorrect Numbering
- e. Index Disagrees
- f. Punctuation
- g. Amendments
- h. Bracketing
- i. Missing Text
- j. Duplicate Text
- k. Other

MESSAGE PTO-1449: Please either initial or line through citation(s). Copy provided for reference.

Thank you

initials PAP

RESPONSE

initials

APR 14 2003

FORM PTO-1449/A and B (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICATION NO.: 09/706,574

ATTY. DOCKET NO.: M00656.70060.US

FILING DATE: November 11, 2000

CONFIRMATION NO.: 6165

APPLICANT: Vernon M. Ingram, et al.

GROUP ART UNIT: 1627

EXAMINER: Bennett M. Celsa

Sheet 1 of 2

U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
	*A1	4,801,575		Pardridge	Jan., 1989
	*A2	4,902,505		Pardridge et al.	Feb., 1990
	*A3	4,918,162		Slamon et al.	Apr., 1990
	*A4	4,933,324		Shashoua	Jun., 1990
	*A5	4,975,430		Jahr et al.	Dec., 1990
	*A6	5,004,697		Pardridge	Apr., 1991
	*A7	5,108,921		Low et al.	Apr., 1992
	*A8	5,112,596		Malfroy-Camine	May., 1992
	*A9	5,268,164		Kozarich et al.	Dec., 1993
	*A10	5,391,723		Priest	Feb., 1995
	*A11	5,434,050		Maggio et al.	Jul., 1995
	*A12	5,442,043		Fukuta et al.	Aug., 1995
	*A13	5,506,206		Kozarich et al.	Apr., 1996
	*A14	5,525,727		Bodor	Jun., 1996
	*A15	5,527,527		Friden	Jun., 1996
	*A16	5,552,415		May	Sep., 1996
	*A17	5,552,426		Lunn et al.	Sep., 1996
	*A18	5,576,209		Bredesen	Nov., 1996
	*A19	5,639,726		Lawrence et al.	Jun., 1997
	*A20	5,817,626		Findeis et al.	Oct., 1998
	A21	5,948,763		Soto-Jara, et al.	09-07-1999
	A22	6,172,043		Ingram, et al.	01-09-2001

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
	*B1	WO	PCT/US96/10220	A1	Univ New York (published as WO 96/39834)	12-19-1996	

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
	*C1	ANWER et al., <i>Int. J. Pep. Protein Res.</i> 36:392-399, 1990.	
	*C2	ARISPE et al., <i>Proc. Nat'l Acad. Sci. USA</i> 90:10573-10577, 1993.	
	*C3	ARISPE et al., <i>Proc. Nat'l Acad. Sci. USA</i> 90:567-571, 1993.	
	*C4	BLANCHARD et al., <i>Brain Res</i> 776(1-2):40-50, 1997.	
	*C5	LAM. <i>Nature</i> 354:82-84, 1991.	

FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/706,574	ATTY. DOCKET NO.: M00656.70060.US
INFORMATION DISCLOSURE STATEMENT BY APPLICANT APR 14 2003 U.S. PATENT & TRADEMARK OFFICE		FILING DATE: November 11, 2000	CONFIRMATION NO.: 6165
		APPLICANT: Vernon M. Ingram, et al.	
Sheet 2 of 2	GROUP ART UNIT: 1627	EXAMINER: Bennett M. Celsa	

Examiner's Initials	Article No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
	*C6	LEVINE, <i>Protein Sci</i> 2:404-410, 1993.	
	*C7	MATTSON et al., <i>J. Neurosci.</i> 12:376-389, 1992.	
	*C8	NACHMAN et al., <i>Regul. Pept.</i> 57:359-370, 1995.	
	*C9	RIVERA-Baeza et al., <i>Neuropeptides</i> 30:327-333, 1996.	
	*C10	SHEN et al., <i>Biophys J.</i> 65:2383-2395, 1993.	
	*C11	SOTO et al., <i>Biochem. Biophys. Res. Commun.</i> 226:672-680, 1996.	
	C12	SPATOLA et al, <i>Chemistry & Biochemistry of AA, Peptides & Proteins (Weinstein, Ed.)</i> vol. 7, pp. 267-356, 1983.	
	*C13	TOMSKI and Murphy, <i>Arch. Biochem. Biophys.</i> 294:630-638, 1992.	
	C14	BLANCHARD et al., <i>J. Alzheimer's Disease</i> 2(2):137-149 (2000)	
	C15	BRAUNER et al., <i>Biochim Biophys Acta</i> 1984 Apr 11;771(2):208-16	
	C16	COOPER et al., <i>Biochemistry.</i> 29:3859-3865 (1990)	
	C17	DURELL et al., <i>Biophys. J.</i> 67:2137-2145 (1994)	
	C18	HARTINGER, <i>J. Biol. Chem.</i> 268:23122-23127 (1993)	
	C19	HARTLEY et al., <i>J. Neuroscience</i> 19:8876-8884 (1999)	
	C20	KAWAHARA et al., <i>Biophys. J.</i> 73:67-75 (1997)	
	C21	LANGHEINRICH, et al., <i>J. Physiol.</i> 502 (Pt 2):397-408 (1997)	
	C22	POLLARD et al., <i>Ann. N.Y. Acad. Sci.</i> 695:165-168 (1993)	
	C23	SANDERSON et al., <i>Brain Res.</i> 744:7-14 (1997)	
	C24	WALSH et al., <i>J. Biol. Chem.</i> 274:25945-52 (1999)	
	C25	YANKNER et al., <i>Science.</i> 250:279-282 (1990)	

EXAMINER	DATE CONSIDERED
----------	-----------------

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/005,215, filed January 9, 1998 now issued as U.S. Patent Number 6,172,043, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]